

#11 022504
CW
CG/2628
\$
ATTORNEY DOCKET NO. 5387-003

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Min-Ho Cha

Serial No.: 09/509,326

Examiner:

Dass, Harish T.

Filing Date: March 24, 2000

Group Art Unit:

3628

Title: AUTOMATIC ORDERING METHOD AND SYSTEM FOR TRACKING OF STOCK,
BOND, ITEM, FUTURE INDEX, OPTION INDEX AND SO ON

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

JAN 28 2004

INFORMATION DISCLOSURE STATEMENT

Technology Center 2600

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b); or
(Within three months of filing national application; or date of entry of International application;
or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☒ a \$180 fee under 37 CFR 1.17 (p); or
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:
☐ Statement under 37 CFR 1.97 (e), and
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of
the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)
may be material to the examination of this application and for which there may be a duty to disclose in accordance
with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A
duplicate copy of this sheet is enclosed.

Customer No. 20575

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

RECEIVED

FEB 09 2004

GROUP 3600

Hosoon Lee

Limited Recognition under 37 CFR §10.9(b)

MARGER JOHNSON & McCOLLOM, P.C.
1030 SW Morrison Street
Portland, OR 97205
503-222-3613

I hereby certify that this correspondence
is being deposited with the United States
Postal Service as first class mail in an
envelope addressed to: Commissioner for
Patents, P.O. Box 1450, Alexandria, VA
22313-1450
Date: January 20, 2004

Christina Lawton

01/27/2004 HDAHTE1 00000066 09509326

01 FC:1806

180.00 OP

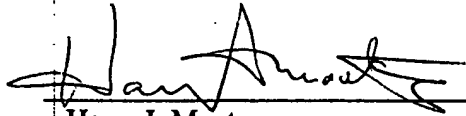
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATES PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Hosoon Lee is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Marger Johnson & McCollom, P.C. law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Marger Johnson & McCollom, P.C. law firm, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Marger Johnson & McCollom, P.C. law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Hosoon Lee ceases to lawfully reside in the United States, (ii) Hosoon Lee's employment with the Marger Johnson & McCollom, P.C. law firm ceases or is terminated, or (iii) Hosoon Lee ceases to remain or reside in the United States, authorized to be employed by an Employment Authorization Card issued pursuant to 8 CFR § 274a.12(c)(9).

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

Expires: July 16, 2004



Harry I. Moatz
Director of Enrollment and Discipline